

Dossier de la OHI N.° S1/6100/2020

CARTA CIRCULAR DE LA ASAMBLEA N.º 2 bis 1 22 de mayo del 2019

2.ª SESIÓN DE LA ASAMBLEA DE LA OHI (A-2)

Mónaco, 21-24 de abril del 2020

NOMBRAMIENTO DEL CONTRALMIRANTE SHEPARD M. SMITH POR LOS ESTADOS UNIDOS DE AMÉRICA PARA EL PUESTO DE DIRECTOR DE LA OHI

Referencias:

- A. Carta Circular de la Asamblea N.º 2 del 03 de mayo del 2019 *Solicitud de nombramientos de candidatos para el puesto de Director de la OHI*;
- B. Reglamento General de la OHI, Artículo 21.

Estimado(a) Director(a),

- 1. La Carta Circular de la Asamblea de la Referencia A invitaba a los Estados Miembros de la OHI a nombrar candidatos para el puesto de Director de la OHI e indicaba que los nombres de los candidatos y sus formularios de candidatura serían publicados en cuanto se recibiesen.
- 2. Los Estados Unidos de América han nombrado al **Contralmirante Shepard M. SMITH** para que se presente a la elección para el puesto de Director de la OHI, en la 2.ª Sesión de la Asamblea de la OHI. Se adjunta a la presente su formulario de candidatura.
- 3. Según lo requerido por la Referencia B, el Secretario General reunirá todas las candidaturas recibidas y presentará un inventario consolidado, como parte de la documentación que será considerada por la Asamblea.

Atentamente,

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Dr Mathias JONAS Secretario General

Anexo: Formulario de candidatura de EE.UU.

Candidature for a post of DIRECTOR

(Article 20 of the General Regulations)



Candidature à un poste de DIRECTEUR

(Article 20 du Règlement général)

GENERAL - GENERALITES

1. Nominating Member State - Etat membre qui présente le candidat :

United States of America

2. Name - Nom:

Rear Admiral Shepard M. Smith, NOAA

3. Nationality - Nationalité :

USA

4. Date of birth - Date de naissance :

November 5, 1968

5. Titles and décorations - Titres et décorations :

Rear Admiral (lower half), NOAA Director, NOAA Office of Coast Survey, National Hydrographer Commissioner, Mississippi River Commission (presidential appointee)

Department of Commerce Gold Medal for Heroism (to ship under my command)

Department of Commerce Gold Medal, 1996 TWA Flight 800

Department of Commerce Bronze Medal

2017 Operational transition of unmanned surface vessels for hydrography

2006 Ground-breaking international collaborative survey with Mexico

2000 Egypt Air Flight 990 debris search and investigation

NOAA Corps Commendation Medal

2012 For outstanding service to the Assistant Secretary

2011 For outstanding leadership at sea during the Deepwater Horizon response

2009 For exceptional hydrographic technical innovation and management

Thirty-two additional awards including five NOAA Corps Special Achievement medals, the Society of American Military Engineers' Colbert Medal, the Association of Commissioned Officers' Engineering Award, three USCG awards, one National Intelligence Unit Citation, six NOAA Unit Citations and the NOAA Corps Outstanding Volunteer Service Medal.

NOAA Command at Sea Insignia NOAA Senior Watch Officer NOAA Divemaster

EDUCATION AND LANGUAGES - ETUDES ET LANGUES

6. **Education** (periods, including specialized or special qualifications) - *Etudes (durée, y compris les qualifications spécialisées ou particulières)* :

Harvard University Kennedy School of Government, Senior Executive Fellows, (4 weeks), 2013 Master of Science in Ocean Engineering, University of New Hampshire, Completed IHO Cat "A" Hydrographic Program. 2000-2003 Bachelors of Science, Mechanical Engineering, Cornell University, 1990-1993 Deep Springs College 1988-1990

NOAA Leadership Competencies Development Program (24 months), 2005-2007

STCW courses in ECDIS, Radar Observer, GMDSS, Navigation, Advanced Firefighting, and Bridge Resource Management

NOAA Introduction to Hydrography (4 weeks), 1994 Shallow Water Multibeam short course (3 weeks), 1998 NOAA Divemaster, 2003

7. Languages (speaking and reading capacity) - Langues (niveau oral et écrit):

English (mother tongue)
Spanish and French (understand and read at basic level)

SERVICE AND EXPERIENCE - SERVICES ET EXPERIENCE

8.a. Hydrographic service - Services dans l'hydrographie :

National Service

2016-present

Director, NOAA Office of Coast Survey

Responsible for hydrographic surveying and charting US domestic waters and territories. 200 employees, annual budget \$60M-\$100M, task four NOAA hydrographic ships, sponsor and oversee two R&D programs (UNH and USM).

2009-2011 and 2015-2016 Commanding Officer, NOAA Ship Thomas Jefferson.

63m Hydrographic Survey Ship, Two launches plus two ASVs, crew of 35. Served in dual capacity of ship's captain and chief scientist of the hydrographic mission. Deployments on US East and Gulf Coasts, including in response to Deepwater Horizon oil spill. Rescued downed pilot in Florida Keys at night. Hosted exchange delegations from UK, Nigeria, Japan, and Canada.

2014-2015 NOAA Deputy Hydrographer

Senior policy advisor and representative to Director, Coast Survey. Served on the IHO's Arctic Regional Hydrographic Commission and the interagency Marine Executive Steering Group for interagency US government charting and navigation policy.

2012-2014 Chief, Marine Chart Division

Responsible for NOAA ENC and paper chart production. Led the transition to database-driven ENC production, and 100% continuous maintenance, print-on-demand chart production.

2007-2009 Chief, Atlantic Hydrographic Branch

Responsible for quality assessment of all Atlantic hydrographic surveys. Increased throughput at AHB by threefold and initiated broad use of bathy data from non-hydrographic sources. Led NOAA's transition to Navigation Surface-based cartography, including development of specifications, workflows, and joint technology development with Caris.

2003-2004

Operations Officer, NOAA Ship Thomas Jefferson

Led daily hydrographic operations, including assignment of watches and crews, troubleshooting hydrographic problems, processing, documenting, and analyzing survey results. Personally developed NOAA standards and procedures for gridded multibeam surveys, briefing the Coast Survey Director and securing approval. This work formed the basis of a broad change in hydrographic practice worldwide in subsequent years.

2000-2003

Research Assistant, Joint Hydrographic Center, U. of New Hampshire

Development and testing of algorithms to support gridded bathymetry for hydrography (CUBE and Navigation Surface). Contributed to research projects on augmented reality navigation, tide-aware navigation, and hydrographic error modeling. Developed workflows and technology for transition to commercial products (BASE Editor in Caris, Fledermaus, Hypack, and Quinsy).

1997-2000

Officer in Charge, Survey Vessel Bay Hydrographer

Operations, maintenance, planning, surveying, data processing and technology test and evaluation on 18m survey vessel. Integrated first Klein 5500 multibeam side scan sonar into regular operations and developed side scan contact database and automated reporting system , which was adopted across the NOAA hydrographic fleet the following year. Served on the survey teams for the search and recovery of TWA flight 800, EgyptAir flight 990, and the aircraft piloted by JFK, Jr.

1995-1997

Field Support Engineer, Hydrographic System Support Branch

Daily technical support and technology transition to operations for NOAA hydrographic program. Developed and deployed a Windows PC GIS-based hydrographic survey system, which allowed NOAA to retire a legacy system that used outdated hardware and pen plotters. Procured and installed PCs, servers and network infrastructure on ships for mid-season upgrades. Trained personnel and oversaw transition.

1993-1995

Junior Officer, NOAA Ship Rainier

Stood bridge watches as Officer of the Deck and served as officer in charge of hydrographic launches surveying in Alaska and California.

International Hydrographic Organization and international activities

2017-present

Chair, IHO Council

Inaugural chair of the IHO Council. In close coordination with the Secretariat, developed and framed the agendas for the annual Council meetings. Chaired the Council meetings, with approximately 90 participants, from 30 Member States. Framed the role of the Council with respect to the HSSC, IRCC, and Secretariat, to support the ongoing activities of each, while providing strategic guidance. During the meetings, set a tone of inclusiveness and open dialogue to advance the priorities of the IHO, while keeping to a rigorous timetable. Through the Council, prepared and matured proposals to the 2d IHO Assembly, including an update to the IHO strategic plan.

I have also taken this leadership role beyond the Council meetings, by speaking for the Council at other IHO and RHC meetings, and by actively working to advance the priorities of the Council between meetings. When the Council initiated plans to provide S-100 based services, I took the initiative to draft a strawman proposal for the consideration of WEND and IRCC. I have fulfilled my due diligence role on the finance committee by annually reviewing the IHO books and asking questions about the status and procedures of the Secretariat.

2016-present

Represent USA at Regional Hydrographic Commissions

NOAA produces charts and provides other navigation services in the regions covered by the USCHC, ARHC, MACHC, and SWPHC. I attended and led a strong regional engagement in each of these regions, with a focus on expanding digital hydrographic services beyond ENCs, sharing experience with the use of unmanned survey systems for hydrography and development of MSDI, and capacity building through workshops and engagement of subject matter experts. Hosted numerous international hydrographic delegations for US visits, leading discussions of technology changes, personnel development, and key charting and hydrographic policies at the National Hydrographer level.

2006-2009

Chair, IHO Data Quality Working Group

Led re-start of the DQWG after some years in hiatus. Began work on next generation of quality indicators that will be included in S-101, and made small but key changes to definitions of CATZOC in S-57, that addressed some member state concerns and paved the way for widespread population of CATZOC in ENCs.

1999-present

Active in international hydrography

Participated in HSSC, IRCC, WEND, and Assembly 1. Frequent participant in international Shallow Survey conferences, Oceanology, United States/Japan Natural Resources Seabed Surveys Panel, US and Canadian Hydrographic Conferences, and bilateral subject matter expert meetings with numerous nations. Invited participant in the GEBCO Forum on the Future of Seabed Mapping. Support and coordinate large NOAA international leadership presence and in-kind contributions across most IHO activities.

2004-present

Support for Capacity-Building

Joint Survey with Mexico: At-sea leader for a capacity-building project with Mexico, including one month of field work off the coast of Tampico. Hosted Mexican Navy officers on the ship, gave tours to local scientists, and arranged for prompt and rigorous transfer of survey results to Mexico.

As Coast Survey Director and chief of the Marine Chart Division, led an expansion of NOAA's capacity-building activities, creating a Chart Adequacy Workshop, attended annually by all the GEBCO scholars at UNH, creating a Cat B S-8 cartographic program now open to international students, and hosting international students on the NOAA campus and ships for internships and developmental opportunities.

8.b. Non-Hydrographic service - Services autres qu'hydrographiques :

2016-present.

Mississippi River Commissioner

Appointed by President Obama to serve on the seven member commission that oversees flood control and navigation projects on the Mississippi River. Approximately 5 weeks of service per year, field inspections, strategic planning, stakeholder meetings, and congressional engagement. Personal focus has been on river observation and modeling, and on developing a risk model that acknowledges climate change and land use changes through the best continental-scale coupled weather-water models.

2011-2012

Senior Advisor to the Assistant Secretary of Commerce

Served as the primary staff for the Assistant Secretary of Commerce for Environmental Observation and Prediction, whose portfolio included the National Weather Service, environmental satellites, and the NOAA ocean observation programs, including hydrography.

2006.

Staff, Operational Planning Division, USCG LANTAREA

Interagency assignment. Contributed to development of a national plan to integrate the seafloor mapping activities of civil works, maritime navigation, and mine warfare. Identified opportunities and build lasting programmatic relationships between NOAA and USCG activities.

2005. Acting Deputy Director, Office of Response and Restoration Served six months as a developmental assignment. Administration of office responsible for oil spill response and environmental restoration.

2004-2005

Executive Officer, NOAA Ship Thomas Jefferson

Second in command, responsible for personnel, budget, logistics and administration. Stood bridge watches, teaching and mentoring junior officers in seamanship, navigation, and docking as a Senior Watch Officer.

Relevant Scientific Activities - Activités scientifiques :

Selected Conferences

Keynote Speaker, US Hydrographic Conference, 2018 and 2016
Keynote Speaker at Shallow Survey Conference, Plymouth, UK 2015
Oceanology 2018, London. Seabed 2030: A Plan for High-Resolution Maps of the Ocean by 2030
Technical Papers at Shallow Survey, US Hydro, Canadian Hydro [list if possible]
University of California, Berkeley Geography Graduate Symposium. Surveying America's Seven Coasts, 2018

E-Navigation Conference, The Future of e-Navigation in the US, December 2015

Selected Public Communications

Podcast: Making Waves: Charting new waters. https://oceanservice.noaa.gov/podcast/may16/mw136-charting-new-waters.html

NOAA crew uses ocean science and nautical skill to save downed pilot. Professional Mariner, November 23, 2010.

http://www.professionalmariner.com/October-2010/NOAA-crew-uses-ocean-science-and-nautical-skill-to-save-downed-pilot/

Reddit AMA: Online open forum "Ask Me Anything." 2018. https://www.reddit.com/r/science/comments/8sqmln/hi_reddit_were_rear_admiral_shep_smith_director_/

Selected Papers

Smith, S.M., 2018, Seabed 2030: A Call to Action: Hydro International (https://www.hydro-international.com/content/article/seabed-2030-a-call-to-action)

Smith, S.M., 2015, Future Navigation: Building upon navigation's history: Proceedings of the Marine Safety and Security Council 72:2 p 11-14 (https://www.uscq.mil/proceedings/archive/2015/Vol72 No2 Sum2015.pdf)

Smith, S.M., 2015, Excited by Automation Driven by Autonomous Vehicles: Hydro International. Featured Interview

(http://www.hydro-international.com/content/article/excited-by-automation-driven-by-autonomous-vehic les)

Smith, S.M., 2003 The Navigation Surface : a multipurpose bathymetric database. Masters Thesis, University of New Hampshire.

Smith, S.M., 2002, The Navigation Surface: A New Database Approach to Creating Multiple Products from High Density Surveys. International Hydrographic Review 3:2 p12-19.

CANDIDATE'S POSITION - POSITION DU CANDIDAT

Fellow Hydrographers,

- I offer myself for service at the IHO at a time of rapid change in our field, when the IHO has a vital role to play in leading this change.
- First and most importantly, the role of hydrographic offices individually, and collectively through the IHO, is to provide the world with accurate and up-to-date hydrographic services. For most of our shared history, this consisted of paper charts and nautical publications-sailing directions, tide and current tables, and light lists. We are charged by the IMO and our signatory nations to provide these services in a coordinated and consistent way globally. The information contained in these traditional products now have modern equivalents in the ENC and services based on the S-100 series of standards. Our challenge in the decade ahead is to complete the global transition to digital services, and serve these to the world's maritime community. In order to "deliver as one," these services must be consistent and distributed in an integrated network of service providers. The IHO has a role in coordinating the provision of these services, and representing the HOs to other intergovernmental organizations such as IMO and IALA.
- Second, the world's HOs collectively hold a vast amount of data that is valuable for sustainably managing our oceans and fisheries, helping coastal communities adapt to climate change and sea level rise, and predicting tsunami runup and storm surge. Many HOs are uniquely positioned within their governments to provide authoritative ocean data to decision-makers and the public in support of these goals, and the rigorous culture of our discipline makes HOs the natural foundation for our national Marine Spatial Data Infrastructure services. The IHO can promote global best practices for MSDI, and assist HOs to take on this important role. In addition, there is a big opportunity for our community to contribute and lead in global campaigns like Seabed 2030, the UN Decade of Ocean Science, and the UN GGIM.
- Third, the IHO is well-positioned to coordinate the continued development of hydrographic capacity and service delivery worldwide. Smaller member states rely on the IHO for training, consultation, and networking in order to fulfill their national obligations under SOLAS V. As we transition to more digital services, the scope of capacity building will need to be broader to include data discovery, management, and assessment, and support for additional dynamic data services. In managing the capacity building program, we need to link our success to adding measurable capacity to global hydrographic services.
- Lastly, the IHO needs to actively promote hydrography within the community of intergovernmental organizations. The future relevance of hydrographic offices and the IHO depends on the extent to which we are leaning forward to align our work with the information demands of the next generation of maritime users, ocean science, and coastal resilience.

ADDITIONAL INFORMATION - RENSEIGNEMENTS COMPLEMENTAIRES (if any) (le cas échéant)

I have been a career hydrographer, with strong execution and change leadership roles from launch hydrographer to Director of the U.S. national hydrographic office. As an ensign, I led the transition to PC-based hydrographic acquisition and processing on my ship. As a lieutenant junior grade, I led the fleetwide transition to GIS-based hydrography. As a lieutenant, I designed a modeled grid-based workflow for hydrography and cartography and transitioned it to commercial implementation. It has since been broadly adopted worldwide and is the basis for the S-102 standard. As a lieutenant commander, I tripled the production of the data processing center I managed, and used the extra capacity to bring in much more data from non-hydrographic sources to improve our charts. As a commander, I was the commanding officer of a survey ship, transitioning the ship to ellipsoid-based surveying and leading a few high-profile projects, including the response to the Deepwater Horizon oil spill. As a captain, I led our charting division to 100% print-on-demand for paper charts, and to maintain "ENCs first." During a second command tour, I incorporated unmanned survey vessels into our daily operations for very shallow water survey work.

I took over a strong organization as Coast Survey director in 2016. As a result, I have been able to focus my leadership on long-term strategic objectives.

Last year, we published the National Charting Plan, which lays out our decadal plans for building a next generation ENC suite with more detail and consistent attribution, which will serve maritime users from recreational boating to unmanned shipping. It will also provide the information backbone to support serving authoritative themes as web services as part of our national MSDI. We have already begun to issue new charts under this program, and the pace will accelerate in coming years. This plan provides the basis of the US contribution to regional ENC schemes in the USCHC, ARHC, MACHC, SWPHC, and EAHC regions where the US has charting responsibilities.

Also in 2017, I approved a sweeping policy clarification which made explicit our longstanding policy to use the "best available data" for our charts. This has allowed us to be much more proactive in seeking out data from other disciplines and new technologies. To implement this policy, we now have teams actively working on data discovery, ingest of external source data, and use of satellite derived bathymetry for change detection and preliminary charting. As a result, NOAA charts are notably much more up-to-date and we have built strong partnerships with other agencies and programs.

Under a program called "precision navigation," we are expanding our five-year old program to produce high definition charts for navigation in port areas. We are leading a NOAA-wide program to deliver a suite of services based on the IHO S-100 standards, which will include HD charts, real time and forecast water levels, air gaps, and surface currents, and real-time and forecast weather and waves. These will be distributed through an integrated machine-to-machine system, and will be compatible with dynamic underkeel clearance systems and portable pilot units.

We are restructuring our hydrographic survey program, to promote more collaborative surveys with other agencies and programs, to increase efficiencies and leverage more high quality data for charting. Just as importantly, we have expanded the scope of our user base through open data policies and bathymetric services, to include hydrodynamic modeling, marine geology, offshore energy, and habitat mapping. Since the inception of Seabed 2030, my office has led the national coalition to map US waters, by creating a gap analysis and maintaining an interagency web mapping portal to coordinate survey projects. We funded the creation of a global crowdsourced bathymetry database, and have developed several key partners in the private sector to contribute bathymetric data.

In addition, we are investing heavily in maturing unmanned survey vessels for hydrography, as portable response units, to be used from ships, and in long-endurance independent operations. We are supporting university development of improved control systems, operational use in our own work and with our hydrographic contractors, and development and testing of launch and recovery systems and sensor integrations. At the same time, we are automating our data processing and training our workforce, so that we will be prepared to take this technology to scale.

We have recently expanded our training programs to include an S-8 Cat-B program and an innovative chart adequacy workshop, and have made them available to international participants. By teaching these programs using state-of-the-art technology and with university participation, we are preparing our employees for the high pace of technological change we are expecting in the years to come.

Many of the priorities that I have taken on during my career at NOAA align with the strategic directions of the IHO, and will be the focus of our work in the years ahead: launching new standards and services, integrating with other ocean disciplines, investing in our people and increasing global hydrographic capacity.

Date: May 3, 2019

Signature of candidate:

Signature du candidat :

Forwarding Authority - Autorité qui transmet :

The Honorable Michael Pompeo, US Secretary of State

Signature of forwarding authority:

Signature de l'autorité qui transmet :